

## Outline of the use of Roman Alphabet Characters in Securities Codes (Reference)

May 31, 2022

Securities Identification Code Committee

Item	Content	Note
Digits for which Roman alphabet characters are used	<p>Roman alphabet characters are used for either or both of the 2nd and 4th digits of four-character specific name codes for stocks (used for stocks, ETFs, REITs, etc.)</p> <p>Ex) 9A76、987A、9A7A</p>	<ul style="list-style-type: none"> <li>The stock codes consist of a four-character specific name code and a one-character reserved code used for new stock and classified stock, etc. There is no change in the composition of the stock codes, such as the number of digits.</li> <li>As for the reserved code, roman alphabet characters may be used when a listed company issues a large number of different types of stock and a number only code is insufficient. In this case, a sufficient period of dissemination will be provided.</li> <li>The Securities Identification Code Committee will separately determine how to assign letters and numbers such as the order in which they are used.</li> </ul>
Range of English characters used	<p>19 uppercase letters, excluding "B", "E", "I", "O", "Q", "V", and "Z" are used.</p>	<ul style="list-style-type: none"> <li>The use of letters is limited in order to prevent errors in oral communication and confusion with numbers and scientific notation.</li> </ul>

Date of Implementation	Roman alphabet characters will be used in the specific name codes for stocks set on and after January 1, 2024.	<ul style="list-style-type: none"> <li>• Roman alphabet characters will be used in the specific name codes of stocks for which approval for new listing has been announced on and after January 1, 2024, regardless of the number of remaining specific name codes for stocks with only numbers.</li> <li>• There is no change in the stock codes already set by December 31, 2023, such as for listed companies.</li> </ul>
Effect on other codes	Since part of bond codes and identification codes for security options transactions include specific name codes for stocks as part of the code, Roman alphabet characters may be included in the specific name codes for stocks on and after January 1, 2024.	<ul style="list-style-type: none"> <li>• Only numbers will continue to be used for codes for government bonds, local government bonds, special bonds, and the like.</li> </ul>

(Note) This document is an outline of the inclusion of Roman alphabet characters in the securities code due to the revision of the “ Guideline of Setting, Change, and Deletion of Stock and Bond Codes ” announced by the Securities Identification Code Committee on May 31, 2022. Although every effort has been made to ensure the accuracy of the information posted, the Securities Identification Code Committee does not guarantee the accuracy, completeness, or scope of the content.